

How to Create a Comprehensive Filing for a Single-Employer Plan My Plan Administration Account (My PAA)

Last Updated:
September 7th, 2021

How to Create a Comprehensive Filing for a Single-Employer Plan

Login

- ▶ From the Home Page, click on the "Log in or Sign up" button to log in.
- ▶ Enter your Username into the field labeled username on the My PAA log in pop-up
- ▶ Enter your Password into the field labeled "Password."
- ▶ Click on the "Log in" button.

The screenshot shows the PBGC Home Page with a modal window titled "Please log in to continue". A red arrow points from the "Log in or Sign up" button in the top right corner of the page to the login modal. The modal contains the following text and fields:

Please see [What's New and How To Use MyPAA](#) here.
Log In or Create an Account

Username

Password

[Forgot your username or password?](#)

Note: You can only use this self-service find username/ reset password link if your account is not already disabled.
Your account will become disabled upon the 5th unsuccessful login attempt.

WARNING!!! WARNING!!! WARNING!!!

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[Log In](#) [Cancel](#)

How to Create a Comprehensive Filing for a Single-Employer Plan

Plan List Page

- ▶ Once you have logged in, click on the “Plan List” icon or link from the Home Page.
- ▶ From the Plan List Page, click on the “Create Filing” link for the plan you would like to create a Comprehensive Premium Filing for.
 - To successfully create a filing, the plan must be associated with your account, and you must have the Filing Preparer Role.
 - You can search or sort the columns to find a particular plan

The screenshot shows the PBGC Home Page with the 'Plan List' icon highlighted. Below the icons is a warning section. The main content area is titled 'Plans in your My PAA Account' and contains a table of plans. A red arrow points from the 'Plan List' icon to the 'CREATE FILING' button for the first plan in the table.

Plan List

WARNING!!! WARNING!!! WARNING!!!

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Plans in your My PAA Account

Show 10 entries Search:

ID	Plan ID	EIN	PN	Name	Last Filing Update	CREATE FILING	PLAN DETAILS
248487	2429567	333666999	369	Test Test Test	07/01/2021	CREATE FILING	PLAN DETAILS
248456	2377551	452615050	002	Testing EIN PN blank issue	06/29/2021	CREATE FILING	PLAN DETAILS
248416	86264	123456789	001	SPECIAL NON-PREMIUM TRANSACTIONS	06/11/2021	CREATE FILING	PLAN DETAILS
248118	729175	953174837	002	TEST AND SERVICES PENSION PLAN	10/13/2020	CREATE FILING	PLAN DETAILS

Showing 1 to 4 of 4 entries First 1 Last

How to Create a Comprehensive Filing for a Single-Employer Plan

Plan Details Page

- ▶ You may also start the filing process from the Plan Details Page
- ▶ From the Plan List Page, click on the “Plan Details” button for the plan you would like to create a Comprehensive Premium Filing for. Then click on “Create Filing” under the “PLAN ADMIN DETAILS” bar.
 - To successfully create a filing, the plan must be associated with your account, and you must have the Filing Preparer Role.
 - You can search or sort the columns to find a particular plan

Plans in your My PAA Account							
Show 10 entries				Search: <input type="text"/>			
ID	Plan ID	EIN	PN	Name	Last Filing Update		
248487	2429567	333666999	369	Test Test Test	07/01/2021	CREATE FILING	PLAN DETAILS
248456	2377551	452615050	002	Testing EIN PN blank issue	06/29/2021	CREATE FILING	PLAN DETAILS
248416	86264	123456789	001	SPECIAL NON-PREMIUM TRANSACTIONS	06/11/2021	CREATE FILING	PLAN DETAILS
248118	729175	953174837	002	TEST AND SERVICES PENSION PLAN	10/13/2020	CREATE FILING	PLAN DETAILS
Showing 1 to 4 of 4 entries					First 1 Last		

Create Filing

Submit Request

Manage Roles

Check Status of Request

Account History

View Correspondence

Payment Voucher

Premium Filings						
Show 10 entries				Search: <input type="text"/>		
Filing ID	PYC	Submit Date	Status			
419336	01/01/2017		Pending Payment Info	FILING DETAILS	FILING RECEIPT	
419335	01/01/2018	09/07/2021	Submitted/Pending Processing	FILING DETAILS	FILING RECEIPT	AMEND

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

General Information

- ▶ Enter or select the appropriate information for the filing.
- ▶ Required fields on this page:
 - Sponsor's Name
 - Business Code Type
 - Business Code (this dropdown will display available codes depending on the selection for Business Code Type)
- ▶ Note: The EIN/PN cannot be changed on this page. This action must be done on the EIN/PN change page (see slide 8 for more details).
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.

The screenshot displays the PBGC Filing Management V2 interface. At the top, there is a navigation bar with the PBGC logo, "Home Page", "Ask a Question", a search bar, and a user profile dropdown for "johndoe@g...". Below the navigation bar, a "Submit a Filing" link is visible. The main heading is "Filing Management V2", with publication and update dates. A sidebar on the left contains a menu with four items: "I. General Plan Year Information" (selected), "II. Premium Calculations", "III. Other Filing Details", and "IV. Review and Summary". The main content area is titled "General Plan Information" and shows "Comprehensive Premium Filing for: TEST PENSION PLAN". It lists the Plan ID as 2371687 and the EIN/PN as 111111111 / 001. A section titled "General Plan Information" contains several input fields: "Employer Identification Number (EIN)" with the value 111111111, "Plan Number (PN)" with the value 001, "Plan Name" with the value TEST PENSION PLAN, "Sponsor's Name" with the value Test Plan Admin, and "First Six Digits of CUSIP" which is empty. Below these are two dropdown menus for "Business Code Type" and "Business Code". At the bottom right, a "Save and Continue" button is highlighted with a red rectangle. At the bottom left, there is an "Exit" button.

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Additional Plan Information

- ▶ Enter or select the appropriate information for the filing.
- ▶ Required fields on this page:
 - Plan Admin Information (it is important that this address is accurate, as all mailed correspondence will be sent here)
 - Plan Contact Information (all mailed correspondences will be addressed to this person).
- ▶ Alternate phone number and Additional plan contact information are not required. If you decide to provide this information, click on the “Yes” radio button and additional fields will appear.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.



Home Page

Ask a Question

Search...

john.doe@g... ▾

[Submit a Filing](#)

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

I. General Plan Year Information

General Plan Information

Additional Plan Information

II. Premium Calculations

III. Other Filing Details

IV. Review and Summary

Additional Plan Information

Comprehensive Premium Filing for: TEST PENSION PLAN
Plan ID: 2371687
EIN/PN: 111111111 / 001

Plan Admin Information

Admin Name:

Test Plan Admin

Test Plan Admin's Email Address:

john@doe.COM

Test Plan Admin Phone Number:

(111) 111-2222

Test Plan Admin Ext:

Address1:

1234 Address

Address 2:

Country

United States (US) ▾

City

Orange

State:

DC ▾

Zip:

11111

Plan Contact Information

Plan Contact Name (Correspondence Addressee):

John Doe

John Doe's Email Address:

john@doe.com

John Doe Phone Number:

(111) 222-3333

John Doe Ext:

Would you like to add an alternate phone number for Insured Plans List on pbgc.gov?

☐ Yes ☐ No

Would you like to add an additional plan contact?

☐ Yes ☐ No

Back

Save and Continue

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Plan Year Details

- ▶ Enter or select the appropriate information for the filing.
- ▶ Required fields on this page:
 - PYC and PYE Dates
 - Plan Type
 - Change in PYC date question
 - Small plan question
 - Plan Effective Date
 - If the plan is New/Newly Covered, check the box for additional fields that will also be required; Adoption Date, Coverage Date, and Continuation Plan question
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

The screenshot shows the PBGC Filing Management V2 web form. The top navigation bar includes 'Home Page', 'Ask a Question', a search bar, and a user profile 'johndoe@g...'. The main title is 'Filing Management V2' with publication and update dates. A left sidebar lists navigation options: 'I. General Plan Year Information' (selected), 'II. Premium Calculations', 'III. Other Filing Details', and 'IV. Review and Summary'. The main content area is titled 'Plan Year Details' and contains the following sections:

- Comprehensive Premium Filing for: TEST PENSION PLAN**
Plan ID: 2371687
EIN/PN: 111111111 / 001
Plan Year Commencing: Uncertain
- Filing Dates**
Plan Year Commencing Date (PYC Date): mm dd yyyy
Plan Year Ending Date (PYE Date): mm dd yyyy
- Plan Type:**
☐ Multiemployer
☒ Single-employer (including multiple-employer plans)
- Has the PYC date changed since the most recent filing as a result of a plan amendment changing the plan year?**
☐ Yes
☐ No
- Is this an amended filing?**
☐ Yes
☐ No
- Plan Information**
For the premium payment year, is the plan a "small plan"?
☐ Yes
☐ No
Plan Effective Date: 04 01 2013
- ☒ Check box if plan is new or newly covered
- New or Newly Covered Plan**
Adoption Date: mm dd yyyy
Date Coverage Began On: mm dd yyyy
- Is the plan a continuation plan:**
☐ Yes
☐ No

At the bottom, there are four buttons: 'Back', 'Edit Previous Data', 'Exit', and 'Save and Continue' (highlighted with a red box).

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

EIN/PN Change Details

- ▶ Please indicate whether you are reporting an EIN/PN change
 - If you are reporting an EIN/PN change, select “Yes” and the “New EIN” and “New PN” fields will appear. Both fields are required before you may continue.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

Home Page Ask a Question Search... johndoe@g...

Submit a Filing

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

- I. General Plan Year Information
 - ✓ General Plan Information
 - ✓ Additional Plan Information
 - ✓ Plan Year Details
 - EIN/PN Change Details**
- II. Premium Calculations
- III. Other Filing Details
- IV. Review and Summary

EIN/PN Change Details
Comprehensive Premium Filing for: TEST PENSION PLAN
Plan ID: 2371687
EIN/PN: 111111111 / 001
Plan Year Commencing: 01/01/2020

2020 Premium Instructions

Do you want to report an EIN/PN change?
☐ Yes
☒ No

Back

Save and Continue

Edit Previous Data Exit

Home Page Ask a Question Search... johndoe@g...

Submit a Filing

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

- I. General Plan Year Information
 - ✓ General Plan Information
 - ✓ Additional Plan Information
 - ✓ Plan Year Details
 - EIN/PN Change Details**
- II. Premium Calculations
- III. Other Filing Details
- IV. Review and Summary

EIN/PN Change Details
Comprehensive Premium Filing for: TEST PENSION PLAN
Plan ID: 2371687
EIN/PN: 111111111 / 001
Plan Year Commencing: 01/01/2020

2020 Premium Instructions

Do you want to report an EIN/PN change?
☒ Yes
☐ No

New EIN: New PN:

Back

Save and Continue

Edit Previous Data Exit

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Form 5500 Details

- ▶ Please indicate if the EIN/PN differs from what was reported on the most recently filed Form 5500
 - If the EIN/PN on the Comprehensive Premium Filing differs from what was reported on the most recent Form 5500, select “Yes.” The “Form 5500 EIN,” “Form 5500 PN,” and Reason fields will appear. All fields are required before you may continue.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
- Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

The screenshot shows the PBGC Filing Management V2 page. The top navigation bar includes 'Home Page', 'Ask a Question', a search bar, and a user profile 'johndoe@g...'. The main heading is 'Filing Management V2' with a timestamp 'Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM'. On the left, a sidebar lists sections: I. General Plan Year Information (selected), II. Premium Calculations, III. Other Filing Details, and IV. Review and Summary. The 'Form 5500 Details' section is active, displaying: 'Comprehensive Premium Filing for: TEST PENSION PLAN', 'Plan ID: 2371687', 'EIN/PN: 111111111 / 001', and 'Plan Year Commencing: 01/01/2020'. A question asks 'Is the plan's EIN or PN different from what was reported on the most recently filed Form 5500?' with 'Yes' and 'No' radio buttons; 'No' is selected. A 'Back' button is below. At the bottom right, a 'Save and Continue' button is highlighted with a red box. Other buttons at the bottom include 'Edit Previous Data' and 'Exit'.

This screenshot shows the same PBGC Filing Management V2 page, but with the 'Form 5500 Details' section expanded to show input fields. The question 'Is the plan's EIN or PN different from what was reported on the most recently filed Form 5500?' now has the 'Yes' radio button selected, indicated by a red arrow. Below this, there are input fields for 'Form 5500 EIN:' and 'Form 5500 PN:'. A 'Reason for Difference:' section with a text area (maximum 300 characters) is also visible. The 'Save and Continue' button at the bottom right remains highlighted with a red box. The sidebar and top navigation are consistent with the previous screenshot.

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Flat-Rate Premiums

- ▶ Enter or select the appropriate information for the filing. Required fields on this page:
 - Participant Count Date
 - Active, Terminated Vested, and Retirees and Beneficiaries participant count
- ▶ My PAA will automatically calculate the total number of participants and the flat-rate premium. To see the formula on how the number was calculated hover over the underlined titles.
 - Note: You can reveal the formula for any item that is automatically calculated in the filing process if you hover over the underlined title.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

The screenshot shows the 'Filing Management V2' page for 'Flat-Rate Premiums'. The page is for a 'TEST PENSION PLAN' with Plan ID 2371687 and EIN/PN 111111111 / 001. The plan year commencing is 01/01/2020. The participant count date is set to 12/31/2019. The participant count as of the participant count date is 0. The single-employer flat-rate is \$83.00 per participant. The calculated flat-rate premium is \$0.00. The formula for the calculated flat-rate premium is shown as 'Total Participant Count x Flat-Rate (per participant) = Calculated Flat-Rate premium'. The page includes buttons for 'Back', 'Edit Previous Data', 'Exit', and 'Save and Continue'. A red box highlights the 'Total Participant Count' field and its formula, and another red box highlights the 'Calculated Flat-Rate premium' field and its formula. A red arrow points from the 'Total Participant Count' field to the 'Calculated Flat-Rate premium' field.

Home Page Ask a Question Search... johndoe@g...

Submit a Filing

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

- ✓ I. General Plan Year Information
- II. Premium Calculations
 - Flat-Rate Premiums
- III. Other Filing Details
- IV. Review and Summary

Flat-Rate Premiums

Comprehensive Premium Filing for: TEST PENSION PLAN
Plan ID: 2371687
EIN/PN: 111111111 / 001
Plan Year Commencing: 01/01/2020

[2020 Premium Instructions](#)

Participant Count Date:
12 31 2019

Participant Count as of Participant Count Date:

Active:
Terminated Vested:
Retirees and Beneficiaries:

Total Participant Count: 0
Single-employer Flat-Rate (per participant) = Active + Terminated Vested + Retirees and Beneficiaries = Total Participant Count

Total Participant Count: 0

Single-employer Flat-Rate (per participant): \$83.00

Calculated Flat-Rate premium: \$0.00

Back

Calculated Flat-Rate premium: \$0.00
Total Participant Count x Flat-Rate (per participant) = Calculated Flat-Rate premium

Edit Previous Data Exit Save and Continue

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Alternative Premium Funding Target Election or Revocation

- ▶ If the plan is electing to use the Alternative Premium Funding Target, or if the plan is trying to revoke a prior election (after the election has been in place for five (5) years), please indicate that on this page.
- ▶ If you would like to continue using the Standard Premium Funding Target do not select either option.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

The screenshot shows the PBGC Filing Management V2 interface. At the top, there's a navigation bar with 'Home Page', 'Ask a Question', a search bar, and a user profile 'johndoe@g...'. Below this is a 'Submit a Filing' link. The main heading is 'Filing Management V2' with publication and update dates. A sidebar on the left lists filing steps: I. General Plan Year Information, II. Premium Calculations, Flat-Rate Premiums, Alternative Premium Funding Target Election or Revocation (highlighted), III. Other Filing Details, and IV. Review and Summary. The main content area is titled 'Alternative Premium Funding Target Election or Revocation' and shows filing details for 'TEST PENSION PLAN' (Plan ID: 2371687, EIN/PN: 111111111 / 001, Plan Year Commencing: 01/01/2020). It includes a note about the five-year requirement and two checkboxes for 'Election' and 'Revocation'. At the bottom, there are buttons for 'Back', 'Edit Previous Data', 'Exit', and 'Save and Continue' (which is highlighted with a red box).

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Variable-Rate Premiums (VRP)

- ▶ Please indicate if this plan exempt from VRP
 - ▶ If the plan is not exempt from VRP,
 - Another question will appear asking if the plan qualifies for small employer cap.
 - 1. If the plan does not qualify for the small employer cap, select “No,” and then click on the “Save and Continue” button.
 - 2. If the plan does qualify for the small employer cap, you will need to indicate if the plan would like to report unfunded vested benefits (UVBs), although it is not required.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button and continue to slide 13.
 - ▶ If the plan is exempt from VRP, please continue to the next slide.
 - ▶ To review previously entered data click “Back.”
 - ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.



Home Page Ask a Question Search... johndoe@g...
Submit a Filing

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

- ✓ I. General Plan Year Information
- ✓ II. Premium Calculations
- ✓ Flat-Rate Premiums
- ✓ Alternative Premium Funding Target Election or Revocation
- Variable-Rate Premiums (VRP)
- III. Other Filing Details
- IV. Review and Summary

Variable-Rate Premiums (VRP)

Comprehensive Premium Filing for: TEST PENSION PLAN
Plan ID: 2371687
EIN/PN: 111111111 / 001
Plan Year Commencing: 01/01/2020

[2020 Premium Instructions](#)

VRP Exemptions

Is the plan exempt from the VRP?

☐ Yes
☒ No

[Back](#) [Save and Continue](#)

VRP Exemptions

Is the plan exempt from the VRP?

☐ Yes
☒ No

Small Employer VRP Cap Qualification

Does the plan qualify for the VRP small employer cap (applicable for certain plans of small employers with 25 or fewer employees)?

☐ Yes
☒ No

[Back](#) [Save and Continue](#)

VRP Exemptions

Is the plan exempt from the VRP?

☐ Yes
☒ No

Small Employer VRP Cap Qualification

Does the plan qualify for the VRP small employer cap (applicable for certain plans of small employers with 25 or fewer employees)?

☒ Yes
☐ No

Although not required, does the plan want to report unfunded vested benefits (UVBs) so that My PAA can determine the lowest applicable VRP?

☒ Yes
☐ No

[Back](#) [Save and Continue](#)

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Variable-Rate Premiums (VRP)

- ▶ If the plan is exempt from VRP, then please select the appropriate reason.
 - Note: If “Standard termination with a proposed termination date in a prior year” is the reason, you must provide the proposed termination date.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
 - Continue to slide number 16.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

Home Page Ask a Question Search... johndoe@g...

Submit a Filing

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

- ✓ I. General Plan Year Information
- II. Premium Calculations
- ✓ Flat-Rate Premiums
- ✓ Alternative Premium Funding Target Election or Revocation
- Variable-Rate Premiums (VRP)**
- III. Other Filing Details
- IV. Review and Summary

Variable-Rate Premiums (VRP)

Comprehensive Premium Filing for: TEST PENSION PLAN
Plan ID: 2371687
EIN/PN: 111111111 / 001
Plan Year Commencing: 01/01/2020

[2020 Premium Instructions](#)

VRP Exemptions

Is the plan exempt from the VRP?

☒ Yes
☐ No

What is the plan's VRP exemption reason?

☐ New or newly covered small plan other than a continuation plan
☐ Standard termination with a final distribution during the premium payment year
☒ Standard termination with a proposed termination date in a prior year
☐ No vested participants
☐ 412(e)(3) plan

Proposed Termination Date:

mm dd yyyy

Back

Edit Previous Data Exit

Save and Continue

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Premium Funding Target Method

- ▶ This page will appear if the following is met:
 - The plan is not exempt from VRP and does not qualify for small employer cap
 - OR if the plan is not exempt from VRP and does qualify for the small employer cap but wants to report unfunded vested benefits (UVB).
- ▶ Enter the appropriate information for the filing.
- ▶ Required fields on this page:
 - Premium Funding Target Method – Will be dynamically displayed based on the selection on Alternative Premium Funding Target Election or Revocation page (Slide 11)
 - UVB Valuation Date
 - Discount Rates
 - *Full Yield Curve – only if Alternative Method is selected
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

The screenshot shows the PBGC Filing Management V2 interface. The top navigation bar includes the PBGC logo, "Home Page", "Ask a Question", a search bar, and a user profile dropdown for "johndoe@g...". The main heading is "Filing Management V2" with publication and update dates. A sidebar on the left lists navigation options: I. General Plan Year Information, II. Premium Calculations (selected), Flat-Rate Premiums, Alternative Premium Funding Target Election or Revocation, Variable-Rate Premiums (VRP), Premium Funding Target Method (highlighted), III. Other Filing Details, and IV. Review and Summary. The main content area is titled "Premium Funding Target Method" and shows "Comprehensive Premium Filing for: TEST PENSION PLAN" with Plan ID 2371687, EIN/PN 111111111 / 001, and Plan Year Commencing 01/01/2020. A link for "2020 Premium Instructions" is on the right. The "Premium Funding Target Method" dropdown is set to "Alternative". The "UVB Valuation Date" is set to mm/dd/yyyy. A "Discount Rates" section has input fields for 1st, 2nd, and 3rd segments, and a checkbox for "N/A, Full Yield Curve Used" which is marked with a red star. At the bottom are "Back", "Save and Continue" (highlighted with a red box), "Edit Previous Data", and "Exit" buttons.

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Variable-rate Premium Due

- ▶ My PAA will automatically calculate the Uncapped VRP, MAP-21 Cap and/or the Small Employer Cap (if applicable).
- ▶ To see the formula on how the number was calculated hover over the underlined titles.
- ▶ You can also see the numerical calculations by clicking on the checkbox “Show Calculations.”
- ▶ Once the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

Home PageAsk a Question

Search...johnndoe@g...

I. General Plan Year Information

II. Premium Calculations

III. Other Filing Details

IV. Review and Summary

Variable-rate Premium Due

Comprehensive Premium Filing for: TEST PENSION PLAN

Plan ID: 2371687

EIN/PN: 111111111 / 001

Plan Year Commencing: 01/01/2020

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

Variable-rate Premiums (VRP)

Premium Funding Target Method

Calculating Unfunded Vested Benefits

Variable-rate Premium Due

★ Uncapped VRP

★ MAP-21 Cap

★ Small Employer Cap

★ Calculated VRP

\$90,000.00

\$339,966.00

\$1,836,180.00

\$90,000.00

Uncapped VRP

(\$2,000,000.00 x 0.045)

MAP-21 Cap

(\$561.00 x 606)

Small Employer Cap

((606 x 606) x \$5.00)

Calculated VRP

\$90,000.00

\$339,966.00

\$1,836,180.00

\$90,000.00


Show Calculations

Show Calculations

2020 Premium Instructions

Save and Continue

Edit Previous DataExit



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How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Calculate Total Premium

- ▶ My PAA will automatically calculate the Flat-rate Premium, Variable-rate Premium, Total Premium before proration and Total Premium after proration (if applicable).
 - If the plan does qualify for proration, you must indicate how many complete and partial months are in the short plan year.
- ▶ To see the formula on how the number was calculated hover over the underlined titles.
- ▶ Once the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

Home Page

Ask a Question

Search...

johndoe@g... ▾

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

✓ I. General Plan Year Information

✎ II. Premium Calculations

✓ Flat-Rate Premiums

✓ Alternative Premium Funding Target Election or Revocation

✓ Variable-Rate Premiums (VRP)

✓ Premium Funding Target Method

✓ Calculating Unfunded Vested Benefits

✓ Variable-rate Premium Due

✎ Calculate Total Premium

🔒 III. Other Filing Details

🔒 IV. Review and Summary

Calculate Total Premium

Comprehensive Premium Filing for: TEST PENSION PLAN
Plan ID: 2371687
EIN/PN: 111111111 / 001
Plan Year Commencing: 01/01/2020

Flat-rate Premium (FRP)	\$50,298.00
Variable-rate Premium (VRP)	\$90,000.00
Total Premium before reflecting proration	\$140,298.00
Total premium	\$140,298.00

Does the plan qualify for proration?
☐ Yes
☒ No

Does the plan qualify for proration?
☒ Yes
☐ No

Number of months (complete and partial) in the short plan year

Edit Previous Data

Exit

Back


Save and Continue

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Premium Amount Due

- ▶ If the plan has already made any payments for this plan year or there is credit/overpayment from a prior plan year, indicate that here.
- ▶ My PAA will automatically calculate the total credit for the plan year (if applicable) and the total premium amount due.
- ▶ To see the formula on how the number was calculated hover over the underlined titles.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
- Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

 Home Page

Ask a Question

Search...

john.doe@g... ▾

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

✓ I. General Plan Year Information

✎ II. Premium Calculations

✓ Flat-Rate Premiums

✓ Alternative Premium Funding Target Election or Revocation

✓ Variable-Rate Premiums (VRP)

✓ Premium Funding Target Method

✓ Calculating Unfunded Vested Benefits

✓ Variable-rate Premium Due

✓ Calculate Total Premium

✎ Premium Amount Due

🔒 III. Other Filing Details

🔒 IV. Review and Summary

Premium Amount Due

Comprehensive Premium Filing for: TEST PENSION PLAN
Plan ID: 2371687
EIN/PN: 111111111 / 001
Plan Year Commencing: 01/01/2020

[2020 Premium Instructions](#)

Total Premium	\$140,298.00
---------------	--------------

Payments made previously for this premium payment year (including credits used)

Outstanding credit from the prior premium payment year

+

=

★ Total credit for this plan year

	\$0.00
	\$0.00
	\$0.00

★ Total Premium amount due:


\$140,298.00

Back

Save and Continue

Edit Previous Data

Exit



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How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Final Filing Information

- ▶ You must indicate if this is the plan's final filing.
 - If it is not, select “No” and click on the “Save and Continue” button, then continue to slide 21.
 - If it is the final filing, select “Yes” and continue to the next slide.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

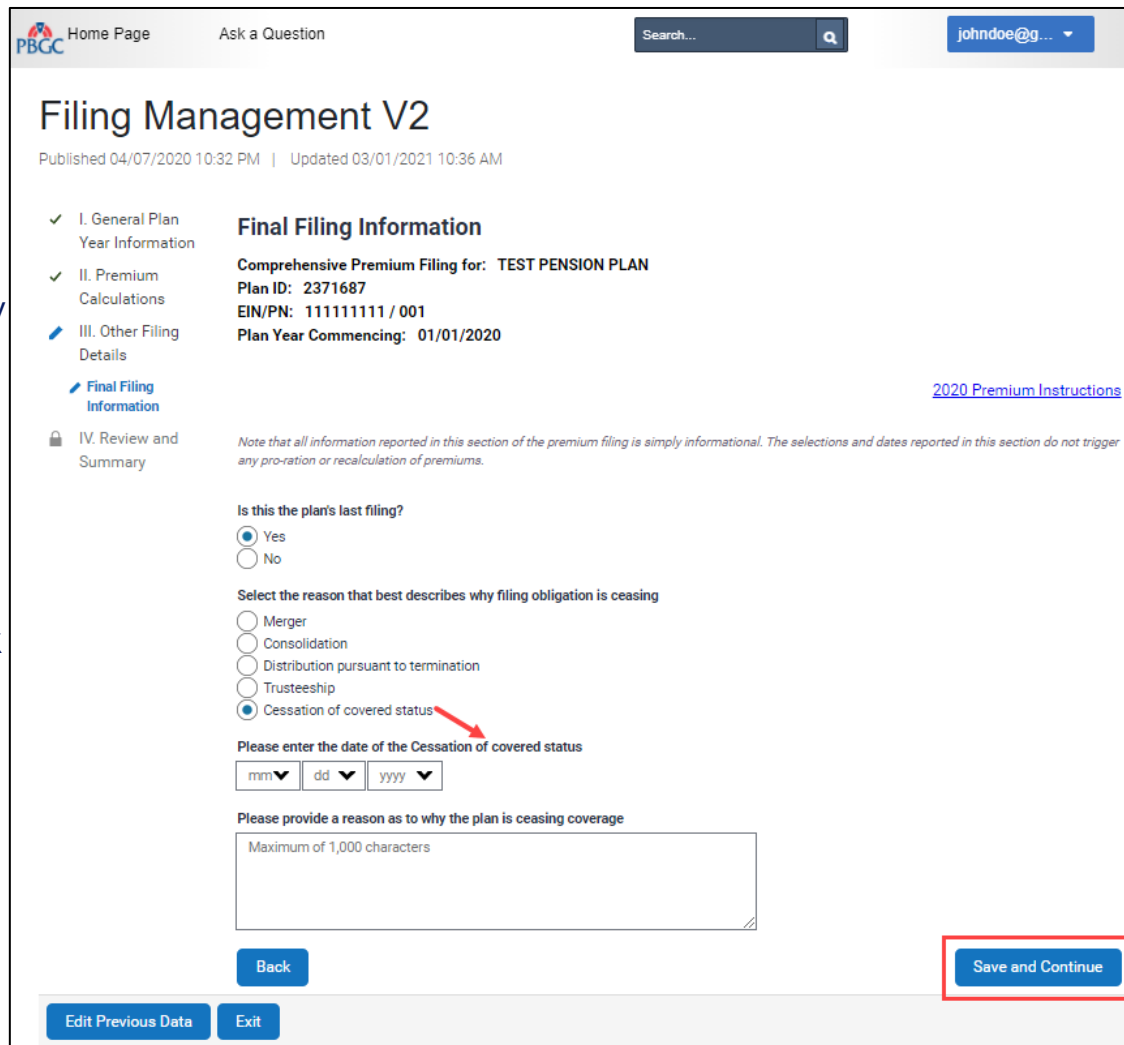
The screenshot displays the PBGC Filing Management V2 interface. At the top, there's a navigation bar with 'Home Page', 'Ask a Question', a search bar, and a user profile 'johndoe@g...'. The main heading is 'Filing Management V2', with publication and update dates. A sidebar on the left lists four sections: 'I. General Plan Year Information', 'II. Premium Calculations', 'III. Other Filing Details', and 'IV. Review and Summary'. 'III. Other Filing Details' is currently selected and highlighted in blue. The main content area is titled 'Final Filing Information' and shows 'Comprehensive Premium Filing for: TEST PENSION PLAN'. It lists 'Plan ID: 2371687', 'EIN/PN: 111111111 / 001', and 'Plan Year Commencing: 01/01/2020'. A note states that the information is informational and doesn't trigger recalculations. Below this, a question 'Is this the plan's last filing?' has two radio buttons: 'Yes' (unselected) and 'No' (selected). At the bottom, there are three buttons: 'Edit Previous Data', 'Exit', and 'Save and Continue'. The 'Save and Continue' button is highlighted with a red rectangle.

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Final Filing Information

- ▶ If this is the plan's last filing, you must select a reason as to why the plan's filing obligation is ceasing, as well as the date the reason took place.
 - If cessation of coverage is selected, then you must also provide a brief explanation as to why the plan is ceasing coverage
- ▶ Note: That this information is simply informational. The information provided on this page will not trigger any proration or recalculation of premiums.
- ▶ Once all the necessary fields have been populated and the information verified click on the "Save and Continue" button.
- ▶ To review previously entered data click "Back."
- ▶ To update/change previously entered data click the "Edit Previous Data" button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.



The screenshot shows the "Filing Management V2" page on the PBGC website. The page has a header with "Home Page", "Ask a Question", a search bar, and a user profile "johndoe@g...". The main title is "Filing Management V2" with publication and update dates. A sidebar on the left lists four sections: "I. General Plan Year Information", "II. Premium Calculations", "III. Other Filing Details", and "IV. Review and Summary". The "Final Filing Information" section is active, showing "Comprehensive Premium Filing for: TEST PENSION PLAN" with Plan ID 2371687, EIN/PN 111111111 / 001, and Plan Year Commencing 01/01/2020. A link for "2020 Premium Instructions" is on the right. Below this, a note states that the information is informational and does not trigger proration or recalculation. The form asks "Is this the plan's last filing?" with "Yes" selected. It then asks for the reason for ceasing filing, with "Cessation of covered status" selected and highlighted by a red arrow. Below this is a date field for the cessation, currently showing "mm dd yyyy". A text area for the reason for ceasing coverage is provided, with a note "Maximum of 1,000 characters". At the bottom, there are three buttons: "Edit Previous Data", "Exit", and "Save and Continue", with the latter being highlighted by a red box.

Home Page Ask a Question Search... johndoe@g...

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

- ✓ I. General Plan Year Information
- ✓ II. Premium Calculations
- ✚ III. Other Filing Details
- ✚ **Final Filing Information**
- 🔒 IV. Review and Summary

Final Filing Information

Comprehensive Premium Filing for: TEST PENSION PLAN
Plan ID: 2371687
EIN/PN: 111111111 / 001
Plan Year Commencing: 01/01/2020

[2020 Premium Instructions](#)

Note that all information reported in this section of the premium filing is simply informational. The selections and dates reported in this section do not trigger any pro-rata or recalculation of premiums.

Is this the plan's last filing?

☒ Yes
☐ No

Select the reason that best describes why filing obligation is ceasing

☐ Merger
☐ Consolidation
☐ Distribution pursuant to termination
☐ Trusteeship
☒ Cessation of covered status

Please enter the date of the Cessation of covered status

mm dd yyyy

Please provide a reason as to why the plan is ceasing coverage

Maximum of 1,000 characters

Back

Edit Previous Data Exit **Save and Continue**

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Plan Transfers

- ▶ If the plan has any plan transfers, you must report them on this page.
- ▶ If the plan does not have any plan transfers occurring during this plan year, select “No” and click on the “Save and Continue” button.
- ▶ If the plan does have a plan transfer(s) that occurred during this plan year, select “Yes,” and then enter the information accordingly.
 - If more than one transfer occurred, click “Report a Plan Transfer”
 - Note: That this information is simply informational. The information provided on this page will not trigger any proration or recalculation of premiums.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.



Home Page Ask a Question Search... johndoe@g...

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

- ✓ I. General Plan Year Information
- ✓ II. Premium Calculations
- ✓ III. Other Filing Details
- ✓ Final Filing Information
- Plan Transfers**
- IV. Review and Summary

Plan Transfers

Comprehensive Premium Filing for: TEST PENSION PLAN
Plan ID: 2371687
EIN/PN: 111111111 / 001
Plan Year Commencing: 01/01/2020

2020 Premium Instructions

Did this plan transfer some, or all assets or liabilities to another plan (or vice versa) since the most recent comprehensive premium filing?

☐ Yes
☒ No

Back

Edit Previous Data Exit

Save and Continue

Home Page Ask a Question Search... johndoe@g...

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

- ✓ I. General Plan Year Information
- ✓ II. Premium Calculations
- ✓ III. Other Filing Details
- ✓ Final Filing Information
- Plan Transfers**
- IV. Review and Summary

Plan Transfers

Comprehensive Premium Filing for: TEST PENSION PLAN
Plan ID: 2371687
EIN/PN: 111111111 / 001
Plan Year Commencing: 01/01/2020

2020 Premium Instructions

Did this plan transfer some, or all assets or liabilities to another plan (or vice versa) since the most recent comprehensive premium filing?

☒ Yes
☐ No

Please provide the following information with respect to the plan to (or from) which assets or liabilities were transferred by clicking "Report a Plan Transfer" below.

Note: If more than one transfer needs to be reported, you must click "Report a Plan Transfer" for every transfer being reported. Please see instructions above for transfers involving new or newly covered plans.

If there is a data entry error you will need to delete the row and reenter correct information.

Transfer Type:	This Plan is the:	Transferor EIN/PN:	Transferee EIN/PN:	Transfer Date
★				

Report a Plan Transfer

Back

Edit Previous Data Exit

Save and Continue

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

De Minimis Plan Transfer

- ▶ It is important to note that starting with the 2021 plan year, if the plan reports a transfer from another plan with a transfer date equal to the PYC, the plan must indicate whether it is De Minimis or not.
- ▶ If it is De Minimis:
 - Select the “Yes” radio button in the appropriate column, verify all the fields are populated and correct, and click on the “Save and Continue” button.
 - Then on the Plan Transfer Continued section you will need to indicate whether the surviving plan is “Smaller” or “Larger” than the Transferee Plan, then click on the “Save and Continue” button.
- ▶ If it is not De Minimis, select the “No” radio button in the appropriate column, verify all the fields are populated and correct, and click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
- Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

Home Page

Ask a Question

Search...

johndoe@g...

I. General Plan Year Information

II. Premium Calculations

III. Other Filing Details

Final Filing Information

Plan Transfers

IV. Review and Summary

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

Plan Transfers

Comprehensive Premium Filing for: TEST PENSION PLAN

Plan ID: 2371687

EIN/PN: 111111111 / 001

Plan Year Commencing: 01/01/2021

2021 Premium Instructions

Did this plan transfer some, or all assets or liabilities to another plan (or vice versa) since the most recent comprehensive premium filing?

Yes

No

Please provide the following information with respect to the plan to (or from) which assets or liabilities were transferred by clicking "Report a Plan Transfer" below.

Note: If more than one transfer needs to be reported, you must click "Report a Plan Transfer" for every transfer being reported. Please see instructions above for transfers involving new or newly covered plans.

If there is a data entry error you will need to delete the row and reenter correct information.

Transfer Type:	This Plan is the:	Transferor EIN/PN:	Transferee EIN/PN:	Transfer Date	De Minimis
Merger	Transferee Plan	123456789/001	111111111/001	01/01/2021	<div>Yes</div> <div>No</div>

Report a Plan Transfer

Back

Edit Previous Data

Exit

Save and Continue

I. General Plan Year Information

II. Premium Calculations

III. Other Filing Details

Final Filing Information

Plan Transfers

Plan Transfers Continued

IV. Review and Summary

Plan Transfers Continued

Comprehensive Premium Filing for: TEST PENSION PLAN

Plan ID: 2371687

EIN/PN: 111111111 / 001

Plan Year Commencing: 01/01/2021

2021 Premium Instructions

Additional information is needed because you indicated that this plan is the transferee plan (i.e., surviving) plan and the merger was de minimis:

Transferor Plan: 123456789/001

Transferee (Surviving) Plan: 111111111/001

Was this plan smaller than the transferor plan? (For purposes of this question, "small" is measured in terms of assets, see instructions)

Yes


No

Back

Edit Previous Data

Exit

Save and Continue



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How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Participation & Accrual Freeze

- ▶ If the plan has any participation or accrual freezes, report on this page.
- ▶ If the plan does not have any participation or accrual freezes occurring during this plan year, select “No” and click on the “Save and Continue” button.
 - Continue to slide 25.
- ▶ If the plan does have a participation and/or accrual freeze continue to the next slide.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

The screenshot shows the PBGC Filing Management V2 interface. At the top, there's a navigation bar with 'Home Page', 'Ask a Question', a search bar, and a user dropdown 'johndoe@g...'. The main heading is 'Filing Management V2' with publication and update dates. A sidebar on the left lists filing steps: I. General Plan Year Information, II. Premium Calculations, III. Other Filing Details, Final Filing Information, Plan Transfers, Participation & Accrual Freeze (highlighted with a blue pencil icon), and IV. Review and Summary (locked). The main content area is titled 'Participation & Accrual Freeze' and shows 'Comprehensive Premium Filing for: TEST PENSION PLAN' with Plan ID 2371687, EIN/PN 111111111 / 001, and Plan Year Commencing 01/01/2020. A link for '2020 Premium Instructions' is on the right. Two sections follow: 'Participation Freeze' with the question 'As of the beginning of the premium payment year, is this plan closed to new entrants?' and 'No' selected; and 'Accrual Freeze' with the question 'As of the beginning of the premium payment year, are the benefit accruals under this plan partially or totally frozen?' and 'No' selected. At the bottom are 'Back', 'Edit Previous Data', 'Exit', and a red-outlined 'Save and Continue' button.

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Participation & Accrual Freeze

- ▶ If the plan had a participation freeze during this plan year, select “Yes,” and provide the date the plan became closed to new entrants.
- ▶ If the plan had a partial or total accrual freeze during this plan year, select “Yes,” and provide the date the freeze became effective, as well as the reason for the freeze.
 - If “Other” is selected, please enter a brief explanation.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

Home Page

Ask a Question

Search...

johndoe@g...

I. General Plan Year Information

II. Premium Calculations

III. Other Filing Details

Final Filing Information

Plan Transfers

Participation & Accrual Freeze

IV. Review and Summary

Published 04/07/2020 10:32 PM

Updated 03/01/2021 10:36 AM

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

Participation & Accrual Freeze

Comprehensive Premium Filing for: TEST PENSION PLAN

Plan ID: 2371687

EIN/PN: 111111111 / 001

Plan Year Commencing: 01/01/2020

2020 Premium Instructions

Participation Freeze

As of the beginning of the premium payment year, is this plan closed to new entrants?

☒ Yes

☐ No

Please enter the date the plan became closed to new entrants

mm

dd

yyyy

Accrual Freeze

As of the beginning of the premium payment year, are the benefit accruals under this plan partially or totally frozen?

☒ Yes

☐ No

Please enter the date the freeze became effective

mm

dd

yyyy

Please select the option that best describes the nature of the freeze

☐ For all participants, both pay and service are frozen

☐ For some participants, both pay and service are frozen

☐ For all participants, service is frozen and pay is not

☐ For some participants, service is frozen and pay is not

☐ Other (Enter Explanation)

Other (Enter Explanation)

Explanation


Maximum of 300 characters

Back

Save and Continue

Edit Previous Data

Exit



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How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Risk Transfer Activity

- ▶ If the plan provided one or more lump sum windows or purchased any annuities prior to the plan year, you must report the activity on this page.
- ▶ If the plan does not have any risk transfer activity to report, select “No” and click on the “Save and Continue” button.
 - Continue to slide 27.
- ▶ If the plan does have risk transfer activity to report, continue to the next slide.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

Home Page Ask a Question Search... johndoe@g...

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

- ✓ I. General Plan Year Information
- ✓ II. Premium Calculations
- ✓ III. Other Filing Details
- ✓ Final Filing Information
- ✓ Plan Transfers
- ✓ Participation & Accrual Freeze
- ✓ Risk Transfer Activity
- IV. Review and Summary

Risk Transfer Activity

Comprehensive Premium Filing for: TEST PENSION PLAN
Plan ID: 2371687
EIN/PN: 111111111 / 001
Plan Year Commencing: 01/01/2020

[2020 Premium Instructions](#)

Did the plan provide one or more lump sum windows during the prior premium payment year?
☐ Yes
☒ No

Did the plan purchase annuities for a group of participants during the prior premium payment year?
☐ Yes
☒ No

Back

Edit Previous Data Exit

Save and Continue

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Risk Transfer Activity

- ▶ If the plan provided one or more lump sum windows prior to the plan year, select “Yes,” and provide the following:
 - Number of participants that were in pay status and were not in pay status that were eligible to elect lump sum.
 - Of those eligible, the number that elected lump sum.
- ▶ If the plan purchased annuities prior to the plan year, select “Yes,” and provide the following:
 - Number of participants in pay status and not in pay status when the annuity was purchased.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
 - Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.

Home Page

Ask a Question

Search...

john.doe@g... ▾

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

✓ I. General Plan Year Information

✓ II. Premium Calculations

✓ III. Other Filing Details

✓ Final Filing Information

✓ Plan Transfers

✓ Participation & Accrual Freeze

✓ Risk Transfer Activity

IV. Review and Summary

Risk Transfer Activity

Comprehensive Premium Filing for: TEST PENSION PLAN

Plan ID: 2371687

EIN/PN: 111111111 / 001

Plan Year Commencing: 01/01/2020

[2020 Premium Instructions](#)

Did the plan provide one or more lump sum windows during the prior premium payment year?

☒ Yes

☐ No

Please report the number of participants eligible to elect a lump sum under all such windows and the number of participants who elected a lump sum

Participants not in pay status when lump sum was offered:

Eligible to elect lump sum

Elected lump sum

Participants in pay status when lump sum was offered:

Eligible to elect lump sum

Elected lump sum

Did the plan purchase annuities for a group of participants during the prior premium payment year?

☒ Yes

☐ No

Please report the number of participants for whom an annuity was purchased:

Participants in pay status when annuity was purchased

Participants not in pay status when annuity was purchased

Back

Save and Continue

Edit Previous Data

Exit

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Disaster Relief

- ▶ If the plan qualifies for relief due to a natural disaster, as reported by the [IRS](#), you must report that information on this page.
- ▶ If the plan does qualify for disaster relief, select “No” and click on the “Save and Continue” button.
- ▶ If the plan does qualify for disaster relief, select “Yes” and select the appropriate disaster.
 - If anyone other than the Plan Admin was the person affected by the disaster selected above, please enter their contact information in the fields provided.
- ▶ Once all the necessary fields have been populated and the information verified click on the “Save and Continue” button.
- ▶ To review previously entered data click “Back.”
- ▶ To update/change previously entered data click the “Edit Previous Data” button.
- Note: You start reviewing/editing from the start of the filing to ensure the validations trigger properly.



Home Page

Ask a Question

Search...

johnndoe@g...

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

✓ I. General Plan Year Information

✓ II. Premium Calculations

✓ III. Other Filing Details

✓ Final Filing Information

✓ Plan Transfers

✓ Participation & Accrual Freeze

✓ Risk Transfer Activity

Disaster Relief

IV. Review and Summary

Disaster Relief

Comprehensive Premium Filing for: TEST PENSION PLAN

Plan ID: 2371687

EIN/PN: 111111111 / 001

Plan Year Commencing: 01/01/2020

2020 Premium Instructions

Is this filing subject to an extended due date per PBGC's disaster relief announcement?

☐ Yes

☒ No

Back

Save and Continue

Edit Previous Data

Exit

Is this filing subject to an extended due date per PBGC's disaster relief announcement?

☒ Yes

☐ No

Note: You will not see codes in this list where the applicable Disaster Relief period has already passed or have not yet been reflected in our system. Please select the "Other" option if you would like to indicate a code which is not available in the list of values

Please select the identifying number of the applicable IRS Disaster Relief News Release

Is the plan administrator's address in the disaster area covered by the applicable IRS News Release?

☐ Yes

☒ No

Please provide the following information as it relates to the person affected by the disaster:

Contact Name

Contact Role

Address 1:

Address 2:

Country:

City:

State:

Zip Code:

United States (US)

How to Create a Comprehensive Filing for a Single-Employer Plan

Filing Management Page

Filing Details Review

- ▶ Once you have completed all the required fields you will be able to review all the information
- ▶ Once all the required filing fields have been completed and the information has been verified click on the accuracy check box and click the “Submit” button.
- ▶ If any data needs to be reviewed again or any updates need to be made:
 - Use the “Back” or “Edit Previous Data” button.
 - Use the navigation bar on the left to quickly go to the desired page.

The screenshot displays the PBGC Filing Management V2 interface. At the top, there is a navigation bar with links for 'Home Page' and 'Ask a Question', a search bar, and a user profile dropdown for 'johndoe@g...'. The main heading is 'Filing Management V2', with publication and update timestamps. A left-hand navigation menu lists five items: 'I. General Plan Year Information', 'II. Premium Calculations', 'III. Other Filing Details', 'IV. Review and Summary', and 'Filing Details Review' (which is highlighted with a red box). The 'Filing Details Review' section contains instructions to review the filing summary and submit for review. It includes a checkbox for a declaration of accuracy, which is also highlighted with a red box. At the bottom, there are buttons for 'Back', 'Submit', 'Edit Previous Data', and 'Exit'.

Home Page Ask a Question Search... johndoe@g...

Filing Management V2

Published 04/07/2020 10:32 PM | Updated 03/01/2021 10:36 AM

- ✓ I. General Plan Year Information
- ✓ II. Premium Calculations
- ✓ III. Other Filing Details
- ✓ IV. Review and Summary
- Filing Details Review**

Filing Details Review

Please review the Filing Summary information below.

If you have completed the preparation of this filing and no further changes are needed, please select the checkbox below and submit the filing for review and certification. Once submitted, you will not be able to make any changes to the filing data unless either an Actuary or Plan Administrator rejects the filing, at which time you will be notified via email that you can then return to the filing to make the needed updates and resubmit it.

If any changes are needed at this time, navigate back to make the desired updates, then return to this Summary page.

If you would prefer to save your progress and then return at a later time to submit this filing, select Exit.

The Summary Information for this filing is the following:

- **Plan Name:** TEST PENSION PLAN
- **EIN/PN:** 111111111 / 001
- **Filing ID:** 212117
- **PYC:** 01/01/2020

☒ I understand that by selecting this checkbox I am indicating to PBGC that I have prepared this filing accurately and completely and am electing to submit it for review and certification, I will not be able to make any further updates to the filing data unless it is returned to me following Actuary or Plan Administrator review.


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How to Create a Comprehensive Filing for a Single-Employer Plan


Filing Management Page

Filing Summary

- ▶ Once you have submitted your filing successfully you will be directed to this confirmation page.
- ▶ Please note that this filing has not been sent to the PBGC yet. Action by the Actuary (if needed), Payment Preparer and the Plan Admin/Plan Admin Rep are required.
 - For more information and step-by-step guidance, please view the How to Certify, Approve and Submit a Filing Demo.

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